

MAR 22 2006

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mukherjee et al.

Application No. 10/019,833

Filed: November 20, 2002

Confirmation No. 8664

For: UTEROGLOBIN IN THE TREATMENT OF  
IGA MEDIATED AUTOIMMUNE  
DISORDERS

Examiner: (unknown)

Art Unit: 1644

Attorney Reference No. 4239-61375-01

COMMISSIONER FOR PATENTS

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CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent  
for Applicant(s)Date Mailed March 20, 2006TRANSMITTAL LETTER Enclosed are:

- A Response to the Notification of Defective Response for the above application.
- A copy of the Notification of Defective Response
- A sequence listing (paper copy)
- A sequence listing (electronic copy on a disk)
- A Statement in Compliance with 37 C.F.R. § 1.821(f)

 No additional fee is required. Please charge any additional fees that may be required in connection with filing this response and any extension of time, or credit any overpayment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed. If the Patent and Trademark Office determines that this response results in an additional application size fee for pages in excess of 100, please charge the fee to Deposit Account No. 02-4550. A copy of this sheet is enclosed. Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Susan Alpert Siegel, Ph.D.  
Registration No. 43,121

cc: Docketing

NIH/SAS/IRMS



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O P E I A P S S  
 MAR 22 2006  
 PATENT & TRADEMARK OFFICE

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,833	Anil B. Mukherjee	4239-61375

24197  
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INTERNATIONAL APPLICATION NO.	
PCT/US00/09979	
I.A. FILING DATE	PRIORITY DATE
07/13/2000	04/21/1999

CONFIRMATION NO. 8664  
 371 FORMALITIES LETTER



\*OC000000018234131\*

Date Mailed: 03/08/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 10/18/2001
- Copy of the International Search Report filed on 10/18/2001
- Preliminary Amendments filed on 10/18/2001
- Information Disclosure Statements filed on 10/18/2001
- Biochemical Sequence Diskette filed on 02/23/2006
- Oath or Declaration filed on 11/20/2002
- Biochemical Sequence Listing filed on 02/23/2006
- Request for Immediate Examination filed on 10/18/2001
- U.S. Basic National Fees filed on 10/18/2001
- Power of Attorney filed on 11/20/2002

DOCKETED FOR: 4/8/06

COMPUTER ML  
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Applicant's response filed 02/23/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/30/2002 have not been completed.

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content

of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

DONNA S GREENE

Telephone: (703) 308-9140 EXT 222

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,833	PCT/US00/09979	4239-61375

FORM PCT/DO/EO/916 (371 Formalities Notice)